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NOTICE OF ALLOWANCE AND FEE(S) DUE

42477

ONE NEW YORK PLAZA

NEW YORK, NY 10004

11/03/2006

FRIED, FRANK, HARRIS, SHRIVER & JACOBSON LLP

EXAMINER AFREMOVA, VERA

PAPER NUMBER

ART UNIT 1657

DATE MAILED: 11/03/2006

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/603,501	06/24/2003	F. Nicholas Franano	31110-0003	3974

TITLE OF INVENTION: METHODS FOR ENLARGING THE DIAMETER OF AN ARTERY OR VEIN IN A HUMAN SUBJECT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	02/05/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:**

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(571)-273-2885

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

maintenance fee notificat	ions.	lock 1 for any change of address)	<u>^</u>	lote: A certificate of	mailing can	only be used fo	or domestic mailings of the	
•		P	apers. Each addition	al paper, such	as an assignme	ent or formal drawing, must		
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FRIED, FRAN ONE NEW YOR NEW YORK, N	KK PLAZA	RIVER & JACOBS	SON LLP ! S a tu	Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.				
			ſ				(Depositor's name)	
							(Signature)	
							(Date)	
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nonprovisional	YES	\$700	\$300	\$0		\$1000	02/05/2007	
EXAM	INER	ART UNIT	CLASS-SUBCLASS	\neg				
AFREMOV	'A, VERA	1657	424-094640	_				
1. Change of corresponde	ence address or indication	n of "Fee Address" (37	2. For printing on th	e patent front page, li	st			
CFR 1.363). Change of correspond	ondence address (or Cha	inge of Correspondence	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,					
_	ondence address (or Cha 3/122) attached.		(2) the name of a single firm (having as a member a 2					
PTO/SB/47; Rev 03-0 Number is required.	cation (or "Fee Address" 2 or more recent) attach	ndication form ed. Use of a Customer	registered attorney of agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
		A TO BE PRINTED ON	•	••				
PLEASE NOTE: Unl recordation as set fort	ess an assignee is ident n in 37 CFR 3.11. Comp	ified below, no assignee pletion of this form is NO	data will appear on the T a substitute for filing	e patent. If an assigr an assignment.	nee is identifie	d below, the do	ocument has been filed for	
(A) NAME OF ASSIC	GNEE		(B) RESIDENCE: (CI	TY and STATE OR (COUNTRY)			
Please check the appropri	ate assignee category or	categories (will not be pr	rinted on the patent):	☐ Individual ☐ C	orporation or o	other private gro	oup entity Government	
4a. The following fee(s) a	are submitted:	41	o. Payment of Fee(s): (P		ny previously	paid issue fee	shown above)	
☐ Issue Fee ☐ Publication Fee (No small entity discount permitted)			☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.					
	of Copies		The Director is here	by authorized to cha	rge the require	d fee(s), any de (enclose a	ficiency, or credit any n extra copy of this form).	
5. Change in Entity Stat	,	•						
	SMALL ENTITY state		b. Applicant is no l				FR 1.27(g)(2). ne assignee or other party in	
interest as shown by the r	ecords of the United Sta	ites Patent and Trademark	Office.	n the applicant; a reg	istered attorne	y or agent; or th	e assignee or other party in	
Authorized Signature				Date				
Typed or printed name				Registration l	No			
an application. Confident submitting the completed this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223	iality is governed by 35 application form to the ons for reducing this builting in a 22313-1450. DC 13-1450.	FR 1.311. The information U.S.C. 122 and 37 CFR to USPTO. Time will vary rden, should be sent to the D NOT SEND FEES OR opersons are required to respect to the persons are required to the persons ar	1.14. This collection is depending upon the ine Chief Information Off COMPLETED FORMS	estimated to take 12 dividual case. Any concer, U.S. Patent and TO THIS ADDRES	minutes to cor omments on th Trademark O S. SEND TO:	mplete, including a mount of ting ffice, U.S. Depa Commissioner f	by the USPTO to process) g gathering, preparing, and ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, number.	



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Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 39 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 39 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.